



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Choudhury et al.

APPLICATION NO.: 10/583,523

FILED: July 24, 2007

FOR: SYSTEM AND TAPERED WAVEGUIDE FOR IMPROVING LIGHT
COUPLING EFFICIENCY BETWEEN OPTICAL FIBERS AND INTEGRATED
PLANAR WAVEGUIDES AND METHOD OF MANUFACTURING SAME

EXAMINER: John M. Bedtelyon

ART UNIT: 2874

ATTORNEY DOCKET NO.: A5-013 US

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant hereby brings the following patents to the attention of the U.S. Patent and Trademark Office which may be relevant to the patentability of the above-identified patent application, pursuant to 37 CFR §1.56, §1.97 and §1.98.

A complete listing of the documents on the attached PTO Form 1449 is made of record, copies are enclosed and consideration by the Examiner is respectfully requested. This statement is provided in order to comply with 37 CFR §1.56, §1.97 and §1.98, and this statement is not to be considered as a representation that no information exists that is more material than these materials.

The information disclosure statement submitted herewith is being filed:

- ☐ within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits or before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114, pursuant to 37 CFR 1.97(b).
- ☒ after three months of the filing date of this application or after the mailing date of a first Office Action on the merits, whichever ever occurred last but before the mailing date of a final action under § 1.113, a Notice of Allowance under § 1.311 or an action

that otherwise closes prosecution, pursuant to 37 CFR 1.97(c), and accompanied by one of the following:

- ☐ The statement under 37 CFR 1.97 (e) (below); or
 - ☒ The fee of \$180.00.
- ☐ after the mailing date of a final action under § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise closes prosecution but on or before payment of the issue fee and is accompanied by one of the following:
- ☐ The statement under 37 CFR 1.97 (e) (below); or
 - ☐ The fee of \$180.00.

Statement under 37 CFR 1.97(e)

Applicants certify that:

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Documents Submitted

- ☐ Copies of all documents are attached.
- ☒ Copies of all non-U.S. documents are attached. An abstract is provided for all non-English language documents.
- ☒ Copy of foreign search report.
- ☐ Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents can be found in the parent application no. , filed on .
- ☐ Other:

Fee Due and Method of Payment

- ☐ No fee is due.
- ☒ Charge Deposit Account No. 50-1873 the sum of \$180.00. A duplicate of this transmittal is attached.
- ☒ If any additional fee is required in connection with this communication, charge Account 50-1873. A duplicate of this transmittal is attached.

Respectfully submitted,

MOLEX INCORPORATED



Thomas D. Paulius, Reg. No. 30,792
Attorney of Record
MOLEX INCORPORATED
2222 Wellington Court
Lisle, Illinois 60532
Tel.: (630) 527-4897
Fax: (630) 416-4962

Date: July 16, 2008



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PRE APPLICATION OF: Choudhury et al.

APPLICATION NO.: 10/583,523

FILED: July 24, 2007

FOR: SYSTEM AND TAPERED WAVEGUIDE FOR IMPROVING LIGHT
COUPLING EFFICIENCY BETWEEN OPTICAL FIBERS AND INTEGRATED
PLANAR WAVEGUIDES AND METHOD OF MANUFACTURING SAME

EXAMINER: John M. Bedtelyon

ART UNIT: 2874

ATTORNEY DOCKET NO.: A5-013 US

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant hereby brings the following patents to the attention of the U.S. Patent and Trademark Office which may be relevant to the patentability of the above-identified patent application, pursuant to 37 CFR §1.56, §1.97 and §1.98.

A complete listing of the documents on the attached PTO Form 1449 is made of record, copies are enclosed and consideration by the Examiner is respectfully requested. This statement is provided in order to comply with 37 CFR §1.56, §1.97 and §1.98, and this statement is not to be considered as a representation that no information exists that is more material than these materials.

The information disclosure statement submitted herewith is being filed:

- ☐ within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits or before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114, pursuant to 37 CFR 1.97(b).
- ☒ after three months of the filing date of this application or after the mailing date of a first Office Action on the merits, whichever ever occurred last but before the mailing date of a final action under § 1.113, a Notice of Allowance under § 1.311 or an action

that otherwise closes prosecution, pursuant to 37 CFR 1.97(c), and accompanied by one of the following:

- ☐ The statement under 37 CFR 1.97 (e) (below); or
 - ☒ The fee of \$180.00.
- ☐ after the mailing date of a final action under § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise closes prosecution but on or before payment of the issue fee and is accompanied by one of the following:
- ☐ The statement under 37 CFR 1.97 (e) (below); or
 - ☐ The fee of \$180.00.

Statement under 37 CFR 1.97(e)

Applicants certify that:

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Documents Submitted

- ☐ Copies of all documents are attached.
- ☒ Copies of all non-U.S. documents are attached. An abstract is provided for all non-English language documents.
- ☒ Copy of foreign search report.
- ☐ Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents can be found in the parent application no. _____, filed on _____.
- ☐ Other:

Fee Due and Method of Payment

- ☐ No fee is due.
- ☒ Charge Deposit Account No. 50-1873 the sum of \$180.00. A duplicate of this transmittal is attached.
- ☒ If any additional fee is required in connection with this communication, charge Account 50-1873. A duplicate of this transmittal is attached.

Respectfully submitted,

MOLEX INCORPORATED



Thomas D. Paulius, Reg. No. 30,792
Attorney of Record
MOLEX INCORPORATED
2222 Wellington Court
Lisle, Illinois 60532
Tel.: (630) 527-4897
Fax: (630) 416-4962

Date: July 16, 2008